



17169 U.S. PTO

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

ATTN: BOX PATENT APPLICATION

Sheet 1 of 2

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Docket No.: 02-311

Date: March 23, 2004

22386 U.S. PTO
10/806525



U.S. Express Mail Label No.:

EV323569399US

Inventor Name(s):

WILLIAM C. BOLEY; GARY P MUELLER; JASON L NIETHAMMER; JAMES M SCHULTZ; AND
BRADY L WINKLEMAN

Title:

PRE-CHAMBERED TYPE SPARK PLUG WITH PRE-CHAMBER ENTIRELY BELOW A
BOTTOM SURFACE OF A CYLINDER HEAD

☒ Fee Transmittal Form Attached in Duplicate

☒ Specification and Claim(s) [Total Pages]

☒ Drawing(s) [Total Sheets]

Declaration

[Total Pages]

☒ Newly Executed (Original or Copy)

☐ Copy From Prior Application (37 CFR § 1.63(d))

☐ Deletion of Inventor(s) (37 CFR § 1.63(d)(2))
(Signed Statement Attached)

☒ Assignment Papers (Cover Sheet and Document(s))

☐ 37 CFR § 3.73(b) Statement (if applicable) ☐ Power of Attorney

☐ English Translation Document (if applicable)

☒ Information Disclosure Statement (IDS)/PTO-1449

☒ Copies of IDS Citations

☐ Preliminary Amendment

☒ Return Receipt Postcard (Specifically Itemized)

☐ Certified Copy of Priority Document(s)

☐ Other

Sheet 2 of 2

If a Continuing Application

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)

of prior Application No.: _____ ;

Examiner: _____ ; and

Group/Art Unit:

☐ Cancel Claims:

For Continuations or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied, as set forth above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

Correspondence Address:

☒ Customer Number or Bar Code Label: 719

or

☐ Correspondence address below:

Name:

Address:

City:


State:

Zip Code:

Country:

Attorney/Agent: Keith P. Roberson

Registration No.: 43,885

Signature: 

Caterpillar Inc.

Date: 3/23/04

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FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 932

Complete if Known

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	William C. Boley ET AL.
Examiner Name	(Unassigned)
Art Unit	(Unassigned)
Attorney Docket No.	02-311

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit

Account Number 03-1129

Deposit

Account Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	770
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	

SUBTOTAL (1) (\$) 770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee below	Fee Paid
29	-20** = 9	18	162
Independent Claims	2	-3** = 0	86
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
1202	2002	18	9	Claims in excess of 20
1201	2001	86	43	Independent claims in excess of 3
1203	2203	290	145	Multiple dependent claim, if not paid
1204	2204	86	43	**Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 162

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)

Keith P. Roberson

Registration No.

(Attorney/Agent)

43,885

Telephone

(309) 675-1668

Signature

Date

03/23/2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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